



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:  
**KIM, Woong Kwon**

GROUP ART UNIT: 1795

SERIAL NUMBER: 10/736,620

EXAMINER: SCHILLING, Richard

FILED: December 17, 2003

FOR: **METHOD FOR FABRICATING ARRAY SUBSTRATE HAVING COLOR FILTER  
ON THIN FILM TRANSISTOR STRUCTURE FOR LIQUID CRYSTAL DISPLAY  
DEVICE**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The Applicant(s) wish to make of record the references listed on the attached PTO/SB/08. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

**RELATED DOCUMENTS**

- For the Examiner's convenience, Applicant(s) wish to make of record the following document(s):
  - ☐ International Search Report from PCT/\_\_\_\_\_/\_\_\_\_\_;
  - ☐ Written Opinion of the ISA from PCT/\_\_\_\_\_/\_\_\_\_\_;
  - ☐ Search Report of the \_\_\_\_\_ patent office in \_\_\_\_\_;
  - Office Action of the **Japanese** patent office in **Appln No. 2003-395868**;
  - ☐ Office Action of the U.S. Patent Office in \_\_\_\_\_;
  - ☐ \_\_\_\_\_.

The above-cited document(s) are not prior art. The above-cited document(s) should not appear on the face of any patent that issues from the above-identified application. Accordingly, these document(s) are not listed on the attached PTO/SB/08. These document(s) are believed to be relevant only in that they identify one or more of the documents cited on the attached PTO/SB/08, or were issued in another application associated with the inventor(s) and/or applicant(s) of this application.

07/29/2008 57EWDIE1 00000066 10736620

01 FC:1906

100.00 0P

RELATED CASES

- ☐ A list of Applicant's pending applications or issued patents, which may be related to the present application, is attached.

CERTIFICATION

The undersigned certifies that:

- ☒ each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after having made reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

FEES

- ☒ A check is attached in the amount required under 37 CFR § 1.17(p) for taking action under 37 CFR § 1.97(c) or (d).

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-0911. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: 28 July 2008

By: 

Eric J. Nuss  
Registration No. 40,106  
MCKENNA LONG & ALDRIDGE LLP  
1900 K Street, N.W.  
Washington, D.C. 20006  
Telephone No: 202-496-7500



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:

**KIM, Woong Kwon**

GROUP ART UNIT: 1795

SERIAL NUMBER: 10/736,620

EXAMINER: SCHILLING, Richard

FILED: December 17, 2003

**FOR: METHOD FOR FABRICATING ARRAY SUBSTRATE HAVING COLOR FILTER  
ON THIN FILM TRANSISTOR STRUCTURE FOR LIQUID CRYSTAL DISPLAY  
DEVICE**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The Applicant(s) wish to make of record the references listed on the attached PTO/SB/08. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

**RELATED DOCUMENTS**

- For the Examiner's convenience, Applicant(s) wish to make of record the following document(s):
  - ☐ International Search Report from PCT/\_\_\_\_\_/\_\_\_\_\_;
  - ☐ Written Opinion of the ISA from PCT/\_\_\_\_\_/\_\_\_\_\_;
  - ☐ Search Report of the \_\_\_\_\_ patent office in \_\_\_\_\_;
  - Office Action of the **Japanese** patent office in **Appln No. 2003-395868**;
  - ☐ Office Action of the U.S. Patent Office in \_\_\_\_\_;
  - ☐ \_\_\_\_\_.

The above-cited document(s) are not prior art. The above-cited document(s) should not appear on the face of any patent that issues from the above-identified application. Accordingly, these document(s) are not listed on the attached PTO/SB/08. These document(s) are believed to be relevant only in that they identify one or more of the documents cited on the attached PTO/SB/08, or were issued in another application associated with the inventor(s) and/or applicant(s) of this application.

RELATED CASES

- ☐ A list of Applicant's pending applications or issued patents, which may be related to the present application, is attached.

CERTIFICATION

The undersigned certifies that:

- ☒ each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after having made reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

FEES

- ☒ A check is attached in the amount required under 37 CFR § 1.17(p) for taking action under 37 CFR § 1.97(c) or (d).

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-0911. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: 28 July 2008

By: 

**Eric J. Nuss**

Registration No. **40,106**

**MCKENNA LONG & ALDRIDGE LLP**

1900 K Street, N.W.

Washington, D.C. 20006

Telephone No: 202-496-7500